

PORTFOLIO - Securities

| Ticker | Security | Trade Date | Quantity | Price | Fees | Amount | Date Sold | Price | Fees | Amount | Loss | Gain | Days Held | % Change |
|---------|--------------|------------|----------|---------|----------|------------|-----------|---------|----------|-------------|-------------|------------|-----------|----------|
| XYZ | XYZ Company | 10/31/15 | 500 | \$10.00 | \$100.00 | \$5,100.00 | 4/30/16 | \$20.00 | \$100.00 | \$10,100.00 | | \$5,000.00 | 182 | 98.0% |
| ABC | ABC Holdings | 12/15/15 | 250 | \$25.00 | \$100.00 | \$6,350.00 | 12/31/16 | \$20.00 | \$100.00 | \$5,100.00 | -\$1,250.00 | | 382 | -19.7% |
| TOTALS: | | | | | | | | | | | -\$1,250.00 | \$5,000.00 | Average: | 39.2% |

| | | Barron's | | | | | | | | | | | St Louis FRB | | | | | | |
|-----------|----------|-----------------|---------------------|----------------------|---------|---------------|---------|---------------|----------|-----------|----------------|---------------|---------------------|--------------------|---------------------|------|------|--------------------|--------------|
| Week End | DJIA | Net Change Week | Net Change On Fri** | Net Change Next Mon* | S&P 500 | % Change Week | NASDAQ | % Change Week | NYSE Adv | NYSE Decl | NYSE New Highs | NYSE New Lows | CBOE Put/Call Ratio | 90-Day Treas. Rate | 30-Year Treas. Rate | A/D | D/A | Week % Change DJIA | Yield Spread |
| 5-Aug-16 | 18543.53 | + 111.29 | + 191.48 | - 14.24 | 2182.87 | 0.43% | 5221.12 | 1.14% | 1624 | 1492 | 544 | 44 | 0.63 | 0.28 | 2.28 | 1.09 | 0.92 | 0.60% | 2.00 |
| 12-Aug-16 | 18576.47 | + 32.94 | - 37.05 | + 59.58 | 2184.05 | 0.05% | 5232.89 | 0.23% | 1681 | 1431 | 551 | 23 | 0.59 | 0.29 | 2.26 | 1.17 | 0.85 | 0.18% | 1.97 |
| 19-Aug-16 | 18552.57 | - 23.90 | - 45.13 | - 23.15 | 2183.87 | - 0.01% | 5238.38 | 0.10% | 1666 | 1447 | 410 | 25 | 0.58 | 0.29 | 2.28 | 1.15 | 0.87 | - 0.13% | 1.99 |
| 26-Aug-16 | 18395.40 | - 157.17 | - 53.01 | + 107.59 | 2169.04 | - 0.68% | 5218.92 | - 0.37% | 1413 | 1707 | 409 | 29 | 0.67 | 0.31 | 2.26 | 0.83 | 1.21 | - 0.85% | 1.95 |
| 2-Sep-16 | 18491.96 | + 96.56 | + 72.66 | + 46.16 | 2179.98 | 0.50% | 5249.90 | 0.59% | 2086 | 1028 | 404 | 34 | 0.65 | 0.33 | 2.24 | 2.03 | 0.49 | 0.52% | 1.91 |
| 9-Sep-16 | 18085.45 | - 406.51 | - 394.46 | + 239.62 | 2127.81 | - 2.39% | 5125.91 | - 2.36% | 631 | 2499 | 456 | 30 | 0.63 | 0.34 | 2.30 | 0.25 | 3.96 | - 2.20% | 1.96 |
| 16-Sep-16 | 18123.80 | + 38.35 | - 88.68 | - 3.63 | 2139.16 | 0.53% | 5244.57 | 2.31% | 1062 | 2048 | 99 | 69 | 0.65 | 0.33 | 2.45 | 0.52 | 1.93 | 0.21% | 2.12 |
| 23-Sep-16 | 18261.45 | + 137.65 | - 131.01 | - 166.62 | 2164.69 | 1.19% | 5305.75 | 1.17% | 2500 | 635 | 233 | 46 | 0.6 | 0.24 | 2.39 | 3.94 | 0.25 | 0.76% | 2.15 |
| 30-Sep-16 | 18308.15 | + 46.70 | + 164.70 | - 54.30 | 2168.27 | 0.17% | 5312.00 | 0.12% | 1487 | 1638 | 253 | 56 | 0.67 | 0.26 | 2.30 | 0.91 | 1.10 | 0.26% | 2.04 |
| 7-Oct-16 | 18240.49 | - 67.66 | - 28.01 | + 88.55 | 2153.74 | - 0.67% | 5292.40 | - 0.37% | 1076 | 2047 | 222 | 56 | 0.62 | 0.32 | 2.42 | 0.53 | 1.90 | - 0.37% | 2.10 |
| 14-Oct-16 | 18138.38 | - 102.11 | + 39.44 | - 51.98 | 2132.98 | - 0.96% | 5214.16 | - 1.48% | 1003 | 2132 | 202 | 79 | 0.68 | 0.33 | 2.51 | 0.47 | 2.13 | - 0.56% | 2.18 |
| 21-Oct-16 | 18145.71 | + 7.33 | - 16.64 | + 77.32 | 2141.16 | 0.38% | 5257.40 | 0.83% | 1968 | 1151 | 185 | 70 | 0.59 | 0.34 | 2.50 | 1.71 | 0.58 | 0.04% | 2.16 |
| 28-Oct-16 | 18161.19 | + 15.48 | - 8.49 | - 18.77 | 2126.41 | - 0.69% | 5190.10 | - 1.28% | 887 | 2252 | 226 | 128 | 0.66 | 0.32 | 2.55 | 0.39 | 2.54 | 0.09% | 2.23 |
| 4-Nov-16 | 17888.28 | - 272.91 | - 42.39 | + 371.32 | 2085.18 | - 1.94% | 5046.37 | - 2.77% | 726 | 2403 | 96 | 191 | 0.8 | 0.36 | 2.58 | 0.30 | 3.31 | - 1.50% | 2.22 |
| 11-Nov-16 | 18847.66 | + 959.38 | + 39.78 | + 21.03 | 2164.45 | 3.80% | 5237.11 | 3.78% | 1781 | 1355 | 394 | 331 | 0.61 | 0.44 | 2.76 | 1.31 | 0.76 | 5.36% | 2.32 |
| 18-Nov-16 | 18867.93 | + 20.27 | - 35.89 | + 88.76 | 2181.90 | 0.81% | 5321.51 | 1.61% | 1982 | 1149 | 450 | 355 | 0.6 | 0.47 | 2.98 | 1.72 | 0.58 | 0.11% | 2.51 |
| 25-Nov-16 | 19152.14 | + 284.21 | + 68.96 | - 54.24 | 2213.35 | 1.44% | 5398.92 | 1.45% | 2454 | 662 | 451 | 69 | 0.6 | 0.48 | 3.01 | 3.71 | 0.27 | 1.51% | 2.53 |
| 2-Dec-16 | 19170.42 | + 18.28 | - 21.51 | + 45.82 | 2191.95 | - 0.97% | 5255.65 | - 2.65% | 1023 | 2098 | 462 | 178 | 0.61 | 0.48 | 3.03 | 0.49 | 2.05 | 0.10% | 2.55 |
| 9-Dec-16 | 19756.85 | + 586.43 | + 142.04 | + 39.58 | 2259.53 | 3.08% | 5444.50 | 3.59% | 2451 | 666 | 676 | 94 | 0.53 | 0.50 | 3.08 | 3.68 | 0.27 | 3.06% | 2.58 |
| 16-Dec-16 | 19843.41 | + 86.56 | - 8.83 | + 39.65 | 2258.07 | - 0.06% | 5437.16 | - 0.13% | 1045 | 2063 | 475 | 182 | 0.58 | 0.52 | 3.16 | 0.51 | 1.97 | 0.44% | 2.64 |

Bold Red = Down Friday, Down Monday

* On Monday holidays, the following Tuesday is included in the Monday figure

** On Friday holidays, the preceding Thursday is included in the Friday figure